

CASE REPORT

Nivolumab plus ipilimumab with chemotherapy in metastatic NSCLC: minireview and a case study of a patient negative for PD-L1

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Abstract

The advent of immunotherapy, and in particular the use of immune-checkpoint inhibitors, has profoundly revolutionized the treatment of different cancers, including lung cancer. The use of immune-checkpoint inhibitors has prolonged survival in lung cancer with a strong benefit in a significant percentage of patients with non-small-cell lung cancer. Here, a clinical case of a patient who, despite testing negative for PD-L1, displayed a sustained complete response to immunotherapy treatment in advanced metastatic non-small-cell lung cancer is

presented. Additionally, recent findings concerning the application of immunotherapy in this context are reviewed.

Keywords: biomarkers, immune-checkpoint inhibitors, NSCLC, response duration.

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Introduction

The advent of immunotherapy, and in particular the use of immune-checkpoint inhibitors (ICIs), has profoundly revolutionized the treatment of different cancers. Patients with melanoma had an unprecedented advantage from the use of ICIs. In 2011, ipilimumab, an antibody targeting CTLA4, was approved for the treatment of advanced melanoma following the positive results of a phase III trial.¹ Other ICIs have been subsequently approved for advanced melanoma and different drugs and treatment options are now available for its treatment.¹

Following the results obtained in melanoma, the number of tumours for which ICIs have been approved and are currently being used is constantly increasing. Renal cell carcinoma, urothelial carcinoma, head and neck squamous cell cancer, and Hodgkin lymphoma are some examples where the use of ICIs has demonstrated a strong impact on patient outcomes.^{2,3} In some specific tumour types, such as colorectal cancer with high microsatellite instability (MSI), a sub-class of patients seem to be particularly responsive to ICIs.⁴

Interestingly, significant activity has also been reported in rare tumours such as Merkel cell carcinoma, hepatocellular carcinoma or squamous cell carcinoma of the skin.^{5,6} The use of ICIs has shown strong benefits in the treatment of non-small-cell lung cancer (NSCLC) in terms of overall survival (OS) and duration of response.⁷⁻¹⁰

However, not all patients with cancer benefit from the use of ICIs and there are still several open questions relative to the use of ICIs, including the lack of biomarkers predicting response. Finally, new emerging ICIs and combination ICIs are in clinical trials, thus possibly further enhancing the therapeutic opportunities for a larger number of patients.

Herein, a minireview is presented on the evolutionary course of NSCLC treatment over recent years, particularly emphasizing the advent of the various ICIs approved either as monotherapy or in combination. Subsequently, a case involving a patient who tested negative for PD-L1 but experienced a sustained complete response is discussed to explore strategies for addressing this specific tumour context.

Minireview

ICI therapy

ICI therapy is becoming the standard first-line therapy for patients with advanced NSCLC without molecular alterations, mainly used as monotherapy in patients with high expression of PD-L1 ($\geq 50\%$) or in combination with chemotherapy in patients with PD-L1 $< 50\%$.^{7,8} Several randomized phase III studies performed in recent years clearly showed a strong benefit from the use of ICIs in NSCLC, leading to their approval for the treatment of advanced NSCLC. Amongst the ICIs approved are inhibitors of PD-L1 (durvalumab¹¹ and atezolizumab¹²), inhibitors of PD-1 (pembrolizumab,¹³ cemiplimab¹⁴ and nivolumab^{15,16}) and inhibitors of CTLA4 (ipilimumab^{17,18}).

In 2015, two randomized, open-label international trials compared nivolumab (a fully human IgG4 antibody targeting PD-1) to docetaxel in patients with advanced squamous NSCLC progressing after or during chemotherapy.^{15,16} These studies showed a statistically significant increase in OS in the group of patients treated with nivolumab. The study by Borghaei et al.¹⁵ randomized 582 patients with advanced non-squamous NSCLC after failure of platinum-based chemotherapy and reported a median OS of 12.2 months in the 292 patients randomized to receive nivolumab *versus* 9.4 amongst the 290 patients treated with docetaxel, with a hazard ratio (HR) for death of 0.73 (95% CI 0.59–0.89). The second study by Brahmer et al.¹⁶ reported, in a smaller number of patients ($n=272$), a similar HR for death of 0.59 (95% CI 0.44–0.79). These results led to a quick FDA approval of the drug for the treatment of this specific group of patients. Another study using another antibody targeting PD-1 (pembrolizumab) in patients with advanced NSCLC and an expression of PD-L1 in at least 50% of cancer cells randomized 305 patients to receive first-line pembrolizumab or platinum-based chemotherapy.¹³ The results showed a significantly longer progression-free survival and OS in patients treated with pembrolizumab compared to those treated with platinum-based chemotherapy. The median progression-free survival was 10.3 months in the pembrolizumab group *versus* 6.0 months in the chemotherapy group, with a HR for disease progression or death of 0.50 (95% CI 0.37–0.68). The response rate was also higher in the pembrolizumab group (45%) than in the chemotherapy group (28%). Notably, this superiority in efficacy was associated with a lower frequency of treatment-related adverse events of any grade (which occurred in 73.4% of patients treated with pembrolizumab compared to 90.0% in the group of patients receiving chemotherapy). Even when grade 3, 4 or 5 treatment-related adverse events were considered, the pembrolizumab group showed a reduced frequency (26.6% *versus* 53.3%) compared to the chemotherapy

group. Also in this case, in 2016, the study opened the way to the first FDA and EMA approval of an ICI for the first-line treatment of patients with NSCLC with more than 50% of cells positive for PD-L1.

Monotherapy versus combination

Although the initial studies and application were conceived for the use of ICI monotherapy, mostly in the second-line setting, the encouraging results obtained have led to the use of ICIs in combination regimens and first-line settings.

The combinations can be of either different ICIs with or without chemotherapy or a single ICI and chemotherapy. Particularly relevant for NSCLC is the association of ipilimumab (anti-CTLA4) and nivolumab (anti-PD-1), which was approved in 2020 in first-line treatment. This combination has been compared to chemotherapy in the first-line setting in a phase III study in patients with at least 1% of cells positive for PD-L1 expression.¹⁷ The trial showed a superiority of ipilimumab and nivolumab compared to chemotherapy with a median OS duration of 17.1 months for the nivolumab-plus-ipilimumab group and 14.9 months for the chemotherapy group. The median duration of response was 23.2 months for patients receiving ICIs compared to 6.2 months for those treated with chemotherapy. The OS benefit was also maintained in patients with PD-L1 expression below 1%. The percentage of patients with grade 3 or 4 treatment-related adverse events was lower in the group receiving ICIs (32.8% *versus* 36.0% for those treated with chemotherapy).

Interestingly, the ipilimumab and nivolumab combination also confirmed its activity in patients regardless of their expression of PD-L1 in combination with two cycles of chemotherapy. The CheckMate 9LA trial enrolled patients with squamous or non-squamous advanced NSCLC, stratifying the patients by histology, sex and PD-L1 expression in the tumour ($< 1\%$ or $\geq 1\%$).¹⁸ The arms were ipilimumab plus nivolumab combined with two cycles of chemotherapy *versus* chemotherapy alone for four cycles, with a 1:1 randomization; a clear superiority of this combination regimen compared to chemotherapy was reported. At 5 years, the trial confirmed the superiority of ICIs plus chemotherapy with a HR of 0.73 (95% CI 0.62–0.85).¹⁹ The OS rate at 5 years was 18% in the ICI-chemotherapy arm compared to 11% in the chemotherapy alone arm. Again, these favourable results were obtained regardless of PD-L1 expression. The reported HR in patients with PD-L1 expression $< 1\%$ was in fact 0.63 (95% CI 0.49–0.83) compared to 0.73 (95% CI 0.59–0.90) for those with PD-L1 $\geq 1\%$. Similarly, no differences were found for histology: HR for the squamous group was 0.63 (95% CI 0.48–0.84) and for the non-squamous group it was 0.77 (95% CI 0.64–0.94).

Overall, these data offer an additional opportunity for patients with PD-L1 expression <1%, representing those with the most unmet needs.

Thus, apart for patients with NSCLC harbouring specific mutations (such as *EGFR* or *ALK*), who are treated with drugs designed to hit these targets, the remaining patients with NSCLC have the possibility to receive first-line ICIs.

Biomarkers and patient selection

There is still no clear evidence of biomarkers predicting the response to ICIs in patients with NSCLC. Amongst the tumour-based biomarkers investigated for the treatment with ICIs in NSCLC, the expression of PD-L1 in tumour biopsies, as assessed by immunohistochemistry, represents the best (and approved) biomarker to guide treatment decisions and able to predict response to therapy. High baseline PD-L1 expression is generally associated with superior outcomes following ICI monotherapy in patients who failed standard chemotherapy. However, it needs to be mentioned that ICIs have shown activity in patients negative for PD-L1.²⁰ The absence of PD-L1 expression is likely to be the most relevant biomarker justifying the selection of the combination of PD-1 and CTLA4 inhibitors as outlined in both the CheckMate 227 and CheckMate 9LA randomized trials.^{18,21} This is consistent with the Clinical Practice Guidelines in Oncology (NCCN Guidelines) for NSCLC.²²

Another tissue-based biomarker is the tumour mutational burden (TMB), i.e. the total number of somatic mutations per megabase of the tumour genome. The assumption is that mutations generate more neoantigens inducing stronger immune activation, and there are some data supporting that higher TMB correlates with increased responses.²³ Hellmann et al. showed clinical benefit of nivolumab plus ipilimumab in patients with NSCLC with a high TMB independently from the percentage of tumour PD-L1 expression.²⁴

MSI is another potential biomarker. It has been shown that patients with high MSI better respond to ICIs.⁴ However, MSI alterations are rare in NSCLC and are mostly observed in tumours like colorectal and endometrial cancer.

Considering the different landscape of mutations, there is evidence that the presence of comutations in certain genes (such as *KRAS* and *STK11/LKB1*) is associated with a worst response to ICIs^{25,26} in patients with NSCLC. The reasons behind these reduced effects are likely to be the inherent worst prognosis of these patients, the low expression of PD-L1 and the reduced infiltrate in these tumours.²⁵⁻²⁷ An interesting possibility comes from the

evidence that the use of *KRAS*-G12C-specific inhibitors in preclinical models stimulates a pro-inflammatory tumour microenvironment with increased response to ICIs.²⁸ *KRAS*-G12C represents the most frequent *KRAS* mutation in NSCLC. Following from these data, trials are in progress to test the combination of *KRAS*-G12C and ICIs in advanced NSCLC that could represent an additional effective regimen to be proposed.

Finally, particularly for NSCLC, where the tissue available is often scarce, blood-based biomarkers are being evaluated as a predictor of response. These biomarkers would have the possibility to longitudinally track patient tumour evolution under the selective pressure of different treatments, including ICIs. These include the evaluation of TMB in circulating cells in blood, microRNAs, circulating tumour cells and circulating tumour DNA, which have shown promising associations with the clinical response of patients with NSCLC to ICI therapy.^{3,29,30}

Table 1 reports a list of drugs currently used in NSCLC, whether they are used as monotherapy or in combination, and their respective treatment lines.

The combination of different ICIs is now in clinical practice for different cancers, including NSCLC. In particular, there are two treatment options in Italy for patients with advanced non-squamous NSCLC with an expression of PD-L1 <50%: a combination of platinum-based chemotherapy with pembrolizumab for four cycles, followed by maintenance therapy with pembrolizumab and pemetrexed (Keynote 189 schedule) or a combination of platinum-based chemotherapy with nivolumab and ipilimumab for only two cycles (CheckMate 9LA schedule), followed by maintenance therapy with nivolumab

Table 1. Immune-checkpoint inhibitors approved by the FDA for non-small-cell lung cancer.

Drug/combination	Target	Line of therapy	Notes
Nivolumab	PD-1	Second	Any PD-L1
Pembrolizumab	PD-1	First Second	PD-L1 ≥50% PD-L1 ≥1%
Atezolizumab	PD-L1	Second First	Any PD-L1 PD-L1 ≥50%
Durvalumab	PD-L1	Locally advanced	PD-L1 ≥1%
Ipilimumab and nivolumab	CTLA4/PD-1	First	PD-L1 ≥1%
Cemiplimab	PD-1	First	PD-L1 ≥50%

and ipilimumab without further chemotherapy.^{9,10,18} Although the follow-up data are longer for Keynote 189 compared to CheckMate 9LA, no substantial differences have been highlighted in terms of efficacy between these two regimens (Table 2); fewer grade 3–5 side-effects have been reported with the CheckMate 9LA scheme, probably due to the lower chemotherapy treatment used.^{31,32}

Herein, a case of a patient with bulky disease at baseline, PD-L1 negative, who has been successfully treated with the CheckMate 9LA regimen with a long-lasting complete response without significant side-effects, is presented.

Case report

The clinical case here reported is described with the intent to exemplify disease management and illustrate how patients with NSCLC negative for PD-L1 expression might be approached. All the data referring to the patient are published after informed consent, in anonymous way, without any details allowing patient identification, and in accordance with the World Medical Association Declaration of Helsinki.

In May 2022, a 55-year-old patient, heavy smoker (30 cigarettes/day from the age of 15 years), was admitted in our hospital for cough and dyspnoea. Comorbidities included hypertension under treatment, chronic obstructive pulmonary disease with sleep apnoea, obesity and HBsAg-positive liver disease (hepatitis B) under treatment with entecavir.

CT and positron emission tomography revealed a suspected lung mass in the left hilar site of approximately 61 mm (SUV 12.9), with stenosis of the main bronchus and downstream atelectasis; a further nodular lesion below the first of 37 mm, a hamartomatous formation in the

right upper pulmonary lobe of 14 mm, sub-carinal lymphadenopathy of 44×23 mm (SUV 11) and right adrenal lesion of 44 mm (SUV 10.7) were also detected.

The patient subsequently underwent endo bronchial ultrasound-guided transbronchial needle aspiration, and the final diagnosis was lung adenocarcinoma, PD-L1 negative. Next-generation sequencing tests on DNA and RNA (Oncomine Precision Assay from Thermo Fisher Scientific, detecting hotspot mutations in 45 genes, copy number variations in 14 genes and fusions in 18 genes) were negative for molecular alterations susceptible to targeted biological therapies and positive for *TP53* (66%).

Before starting first-line treatment, the patient repeated a total body CT scan, which displayed the onset of left pleural effusion, substantial stability of the left hilar lesion of 61×55 mm and of the sub-carinal lymph nodes, an increase in size of the nodular lesion in the retrocardiac site of 57×35 mm, and stability of the right adrenal metastasis of 44 mm (Figure 1A–D).

Following a thorough discussion with the patient regarding the various therapeutic options available (Keynote 189 versus CheckMate 9LA), the CheckMate 9LA regimen, which includes only two cycles of chemotherapy in the induction phase, without further chemotherapy in the maintenance phase, was chosen in order to reduce the risk of bone marrow and gastrointestinal toxicities.

In July 2022, the patient started first-line therapy according to the CheckMate 9LA scheme, which was well tolerated, without significant side-effects. The re-evaluation of the disease after four cycles (two of chemotherapy plus immunotherapy and two of immunotherapy with nivolumab and ipilimumab) demonstrated a partial response, with a reduction of the left hilar lesion, regression of the left pleural effusion, disappearance of the lesion in the lower lung, sub-carinal lymphadenopathy

Table 2. Keynote 189 versus CheckMate 9LA in first-line setting of patients with advanced non-small-cell lung cancer.

Study	Ref.	Treatment	Number of patients	Histology	RR	PFS (months)	OS (months)	AEs Any grade	AEs Grade 3–5
Keynote 189	10	DDP+Pem+Pembro vs DDP+Pem	616	Non-squamous	48% vs 19.4%	9.0 vs 4.9 HR 0.48	22 vs 10.7 HR 0.56; <i>p</i> <0.001	99.8%	71.9%
CheckMate 9LA	18	CT+Nivo+IPI vs CT	719	Any	38% vs 25%	6.7 vs 5.0 HR 0.68	14.1 vs 10.7 HR 0.69; <i>p</i> =0.0006	91%	47%

AE, adverse events; CT, chemotherapy; DDP, platinum; IPI, ipilimumab; Nivo, nivolumab; OS, overall survival; Pem, pemetrexed; Pembro, pembrolizumab; PFS, progression-free survival; RR, response rate.

Figure 1. CT scan at baseline. Thoracic bulky disease and right adrenal metastasis were observed (A–D).

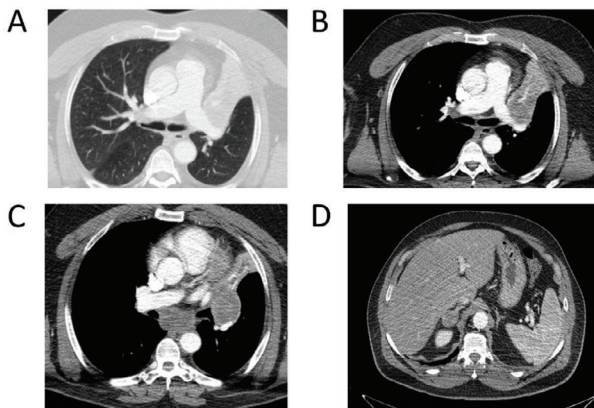
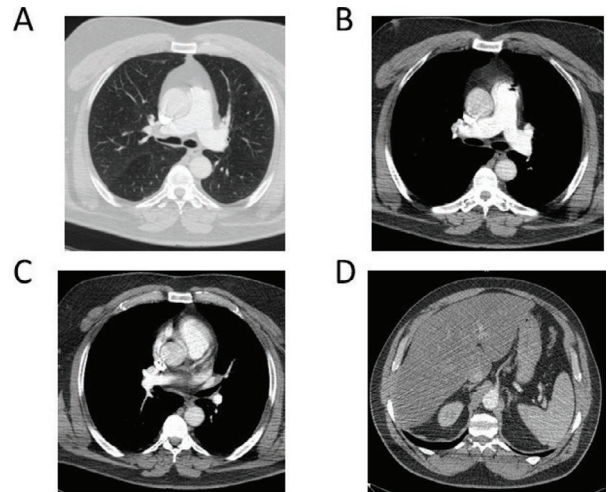


Figure 3. CT scan after 14 cycles of therapy. A long-lasting complete remission is observed (A–D).

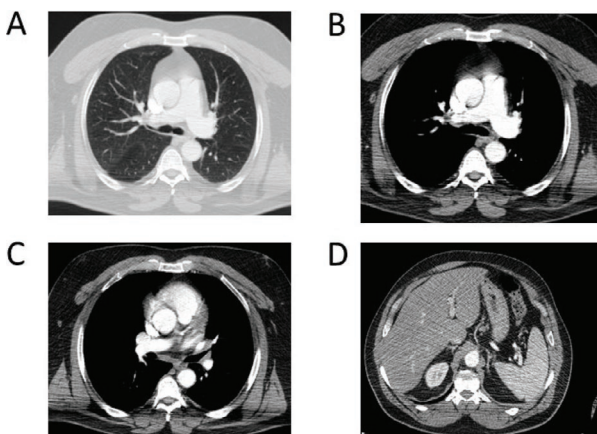


and right adrenal lesion (Figure 2A–D). The patient then continued maintenance therapy with nivolumab and ipilimumab without side-effects. The CT performed after eight cycles documented complete remission of the disease, with regression of all lungs, lymph node, and adrenal lesions and of the left pleural effusion. The patient is currently continuing maintenance therapy with nivolumab and ipilimumab (14 cycles as of February 2024), without significant toxicity and with persistent complete response (Figure 3A–D).

Conclusion

The data collected in the last year clearly show an unprecedented impact of ICIs, alone or in combination with chemotherapy, in patients with advanced NSCLC.

Figure 2. CT scan after four cycles of therapy. Partial remission is shown (A–D).



Although the percentage of patients who benefit from this armamentarium is still not satisfactory, there is a strong effort in assessing the determinants of response to precisely assign the best treatment option for each patient. New drugs are emerging, and surely new combination approaches will help in increasing the rate of success of ICIs.

This clinical case highlights several points of discussion. Firstly, the eligibility for chemo-immunotherapy treatment of a patient with hepatitis B on antiviral therapy: this comorbidity has been an exclusion criterion for patients in the pivotal clinical trials and the lack of data from randomized studies could represent a critical issue for the proposed treatment. Secondly, the presence of bulky disease at baseline, which could be considered a contraindication for the use of a therapeutic regimen with fewer chemotherapy cycles. The patient reported a complete response, described in 2% of patients treated with the CheckMate 9LA scheme,¹⁹ and maintained this response for almost 2 years after starting treatment. The negativity for PD-L1 does not affect the efficacy of immunotherapy, as emphasized in the CheckMate 9LA trial through sub-group analysis of PD-L1 expression, revealing no disparities in the effectiveness of nivolumab and ipilimumab amongst patients with PD-L1 <1%.³³ Furthermore, the outstanding tolerability of the treatment enabled the patient to continue their regular work activities and maintain an optimal quality of life.

In conclusion, the CheckMate 9LA regimen can be used successfully in patients with bulky disease at baseline and hepatitis B on antiviral therapy, with the possibility of obtaining long-lasting complete responses without significant side-effects.

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